

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5)

09/530290

INTERNATIONAL APPLICATION NO.

PCT/JP99/04725

INTERNATIONAL FILING DATE

31 AUGUST 1999

(EARLIEST) PRIORITY DATE CLAIMED

31 AUGUST 1998

TITLE OF INVENTION

MEMORY APPARATUS, DATA-PROCESSING APPARATUS, AND  
DATA-PROCESSING MEDIUM

APPLICANTS FOR DO/EO/US

Kaoru SUZUKI

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)), including 8 sheets of formal drawings and a copy of the International Search Report.
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).
10. ☐ The annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

PCT/ISA/210, PCT/IB/301, 304, 308

PCT Request

References for IDS

**EXPRESS MAIL**Mailing Label Number: EL375197225USDate of Deposit: April 27, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service

"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231.

*Edward Nay*

(Typed or printed name of person mailing paper or fee)

*[Signature]*

(Signature of person mailing paper or fee)

422 Rec'd PCT/PTO 2 7 APR 2000

09/530290

17. ☒ The following fees are submitted:

(CALCULATIONS /PTO USE ONLY)

**Basic National Fee (37 CFR 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO...\$840.00 (\$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) (\$700.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) (

but international search fee paid to USPTO (37 CFR 1.445(a)(2))...\$770.00 (

Neither international preliminary examination fee (37 CFR 1.482) nor (

international search fee (37 CFR 1.445(a)(2)) paid to USPTO...\$1040.00 (

International preliminary examination fee paid to USPTO (37 CFR 1.482) (

and all claims satisfied provisions of PCT Article 33(2)-(4)...\$96.00 (

ENTER APPROPRIATE BASIC FEE AMOUNT = (\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). (\$ /

21 Claims /Number Filed / Number Extra /Rate (

Total Claims / 21 - 20 = / 1 /X \$18.00 (\$ 18.00

Independent Claims / 3- 3 = / 0 /X \$78.00 (\$ 0.00

Multiple dependent claim(s) (if applicable) /+ \$260.00 (\$

**TOTAL OF ABOVE CALCULATIONS = (\$858.00**Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity ( /  
statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). ( /**SUBTOTAL = (\$858.00**Processing fee of \$130.00 for furnishing the English translation later than ( /  
☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).+ (\$ /**TOTAL NATIONAL FEE = (\$858.00**Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment (\$ 40.00/  
must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + (\$ /**TOTAL FEES ENCLOSED = (\$898.00**(Amount to be: /  
(refunded) /\$  
(charged) /\$a. ☒ Our check in the amount of \$898.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 50-0320 in the amount of \$ to cover the above fees.

A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0320. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

WILLIAM S. FROMMER  
FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NEW YORK 10151

SIGNATURE

WILLIAM S. FROMMER  
NAME25,506  
REGISTRATION NUMBER

Dated: April 27, 2000

Form PTO-1390 (REV 10-96)

8 / PART

09/530290

422 Rec'd PCT/PTO 27 APR 2000

1

## DESCRIPTION

Memory Apparatus, Data-Processing Apparatus, and Data-Processing Method

### Technical Field

The present invention relates to a memory apparatus for storing data, a data-processing apparatus that uses the memory apparatus as a recording medium, and a data-processing method.

### Background Art

A memory apparatus shaped like a card or a stick (hereinafter referred to as memory card) is used as a recording medium in personal computers and digital still cameras. The memory card has a flash memory that is used as memory means.

The memory card has a storage area, which is divided into a plurality of blocks. The data written into the flash memory used as memory means is managed in units of blocks. These blocks may be roughly classified into system blocks and user blocks. A system block stores read-only data. System-related data, such as data representing the block configuration, is written as the read-only data into the system block before the memory card is delivered from the factory. A user block stores user data.

The data items recorded in the memory card may include ones that should not

09/530290-042700

be easily rewritten or read out by the user. Among such data items are the password and the use history. (Hereinafter, data items of this type shall be generally called "special data". In the conventional memory card, special data is stored in the user block, too.

There arise no problems if the special data is stored in the user block, provided that the memory card is used in an electronic apparatus so designed that ordinary users cannot make an access to the special data. If the memory card is used in a general-purpose data-processing electronic apparatus, such as a personal computer, however, ordinary users can easily access the user block. This is because the entire user block can be usually accessed. Inevitably, the contents of the special data are easily made known to the ordinary users or may be easily rewritten.

#### Disclosure of the Invention

The present invention has been made in view of the foregoing. The object of this invention is to provide a memory apparatus that can manage special data to disable users to access the special data easily, and also a data-processing apparatus and a data-processing method that use the memory apparatus as a recording medium.

The memory apparatus according to the present invention memory apparatus comprises: a first storage region from which data can be read and into which data can be written, in accordance with instructions made by a user; and a second storage region from which data can be read and into which data can be written, when a data-

002240" 0620550

processing apparatus to which the memory apparatus is connected performs prescribed procedures.

That is, the memory apparatus according to the invention comprises the second storage region, i.e., a storage region other than the first storage region from which data can be read and into which data can be written, in accordance with instructions made by the user. Data can be read from, and written into, the second storage region when a data-processing apparatus, to which the memory apparatus is connected, performs prescribed procedures. Special data, such as a password, information about the copyright of the data stored in the first storage region, the use history of the memory apparatus or the quality history thereof, may be stored in the second storage region. If so, the user cannot easily make an access to the special data.

The memory apparatus may further comprise a read-only storage region storing address data of the second storage region. In this case, the second storage region can be designated by referring to the address data written in the read-only storage region.

The data-processing apparatus according to the invention comprises data-processing means for writing data into, and reading data from, a memory apparatus. The memory apparatus comprises: a first storage region from which data can be read and into which data can be written, in accordance with instructions made by a user, and a second storage region from which data can be read and into which data can be written, when a data-processing apparatus to which the memory apparatus is connected

004240-0620350

In the data-processing apparatus, data is written into the first storage region of the memory apparatus when the instructions made by the user are supplied to the memory apparatus to write the data into the memory apparatus. Data is read from the first storage region when the instructions made by the user are supplied to the memory apparatus to read the data from the memory apparatus. That is, data cannot be written into, or read from, the second storage region in accordance with the instructions made by the user.

In the data-processing apparatus, the data-processing means may designate the second storage region of the memory apparatus upon receipt of instructions for writing the data into the second storage region of the memory apparatus or reading the data from the second storage region, thereby writing the data into the second storage region or reading the data from the second storage region.

In this case, the data-processing means can read such special data as described

above from, and write such special data into, the second storage region. However, the user cannot make the instruction to write the data into, or read the data from, the second storage region. This instruction can be issued only on a special occasion, for example when the password input by the user is authenticated.

The data-processing apparatus may be designed such that password data is written in the second storage region of the memory apparatus. The data-processing means receives instructions to read the password data from the second storage region when the user makes instructions to write data into the memory apparatus or read data from the memory apparatus. The data-processing means reads the password data from the second storage region or writes data into the first storage region of the memory apparatus or reads data from the first storage region when the password data read from the second storage region coincides with the password data input by the user.

In this case, valid instructions are only those made by the authenticated user who knows the password data written in the second storage region. That is, data can be read from and written into the first storage region, only in accordance with the instructions made by the authenticated user.

In the data-processing method according to the present invention, use is made, as a recording medium, an memory apparatus comprising a first storage region from which data can be read and into which data can be written, on the basis of instructions made by a user, and a second storage region from which data can be read and into which data can be written, when a data-processing apparatus to which the memory

004240 0620E560

In this method, data cannot be written into or read from the second storage region in accordance with the instructions made by the user. Hence, such special data as mentioned above, which is written in the second storage region, remains unknown to the user and cannot be rewritten by the user.

In the data-processing method, the second storage region of the memory apparatus may be designated upon receipt of instructions for writing the data into the second storage region of the memory apparatus or reading the data from the second storage region, and the data is written into the second storage region or read from the second storage region.

If this is the case, it is possible to read such special data as mentioned above from, and write the same into, the second storage region of the memory apparatus. However, the user cannot make the instruction to write the data into, or read the data from, the second storage region. This instruction can be issued only on a special occasion, for example when the password input by the user is authenticated.

In the data-processing method, password data may be written in the second storage region of the memory apparatus. Instructions to read the password data from the second storage region may be received when the user makes instructions to write data into the memory apparatus or read data from the memory apparatus, and the password

In this case, valid instructions are only those made by the authenticated user who knows the password data written in the second storage region. That is, data can be read from and written into the first storage region, only in accordance with the instructions made by the authenticated user.

FIG. 1 is a diagram showing the configuration of the storage area of a memory card according to the present invention;

FIG. 3 illustrates a conversion table showing the correspondence between physical addresses and logical addresses;

FIG. 4 is a flow chart explaining a process of setting a password in the memory card;

FIG. 5 is a block diagram depicting a music data recording/reproducing system that uses the memory card containing a password, as a recording medium;

FIG. 7 is a flow chart explaining a process of authenticating the user in accordance with the password written into the special user block of the memory card; and

FIG. 8 is a flow chart explaining a process reproducing and outputting the music data written into the memory card, in the music data recording/reproducing system mentioned above.

The best mode for carrying out the present invention will be described, with reference to the accompanying drawings. In the following description, a memory card according to the invention will be explained, which is designed to be used while connected to a personal computer, a digital still camera, or the like.

This memory card comprises a nonvolatile memory device (flash memory) that is used as memory means. In the memory card, the flash memory, i.e., the memory means, has a storage area. The storage area is divided into a plurality of blocks for recording data. The data stored in the memory means are managed in units of blocks.

The storage area of the memory card according to the invention may have such a configuration as is illustrated in FIG. 1. As shown in FIG. 1(a), the storage area of the memory card has a system block, which is a read-only storage region. The storage

Of the storage regions of the memory card, the system block is a read-only region in which data has been already written. That is, the system-related data, e.g., data representing the block configuration, had been written as the read-only data into the system block before the memory card was delivered from the factory. More precisely, a block ID and a user-use prohibition table are stored in the system block as is illustrated in FIG. 1(b). The block ID specifies that this block is a system block. The user-use prohibition table specifies the blocks any user cannot use.

The user-use prohibition table stored in the system block is a table that contains the address data items of those blocks any user cannot use. In other words, the user cannot use any block the address of which is registered in the user-use prohibition table. More precisely, the addresses of initially defective blocks and the

address of the special user block are registered in the user-use prohibition table as is illustrated in FIG. 1(c). The word "initially defective blocks means user blocks" in which defective memory chips have been found at the time of assembling the memory card.

Once the addresses of the initially defective blocks are registered in the user-use prohibition table, the user will never happen to access the user blocks containing initially defective memory chips. This prevents errors at the time of storing data into the memory card.

Since the address of the special user block is registered in the user-use prohibition table, the access to the special user block, made by the user, is restricted. Thus, the data stored in the special user block will not be easily known to the user or easily rewritten by the user.

Of the storage regions of the memory card, the user blocks are storage regions in which data can be rewritten. Data can be rewritten in the user blocks, in accordance with the instructions made by the user. That is, the user blocks are storage areas open to the user. The user can write data into the user blocks, can erase the data stored in the user blocks and can read the data stored in the user blocks.

To state more specifically, the image data the user has photographed with a digital still camera, the data the user has generated in a personal computer, the music data distributed from a music distributor, or similar data is stored, as user data, into the user blocks in accordance with the instructions made by the user.

002240 06200560

Of the storage regions of the memory card, the special user block is a storage region in which data can be rewritten. However, data can neither be read from nor written into the special user block in accordance with the instructions made by the user. The data can be read from and written into the special user block only when the data-processing apparatus to which the memory card is connected carries out special procedures.

Special data items, such as a password, that should not be easily rewritten or read by the user are stored into the special user block when the data-processing apparatus to which the memory card is connected performs the special procedures.

Once the special data items are stored in the special user block in this way, the contents of the special data items would not easily be known to, or rewritten by, ordinary users.

The memory card may have only one special user block or a plurality of special user blocks. If the card has too many special user blocks, however, the user blocks will decrease in number. In view of this, it is desired that special user blocks be provided in an appropriate number in consideration of the storage capacity the memory card has, the use of the special user blocks, and the like.

The memory card having the block configuration described above may be connected to a data-processing apparatus such as a personal computer, by the use of a PCMCIA (ATA card) adapter or the like. In this case, the personal computer can make accesses to only the user blocks in the storage area of the memory card. Neither

Further, the memory card may be connected directly to a data-processing apparatus compatible with the memory card, such as a digital still camera or a music data receiving apparatus. In this case, the user data, i.e., the image data generated by the digital still camera or the music data received by the music data receiving apparatus, is stored into the user blocks in accordance with the user's instructions. If necessary, the digital still camera and the music data receiving apparatus can write special data, such as a password and use history, into the special user block and can read the special data from the special user block, by performing special procedures.

When the memory card is connected to the data-processing apparatus, the data-processing apparatus searches the memory card for the system block in Step S101. The block ID stored in the system block is used to detect the system block.

In Step S1-3, the data-processing apparatus initializes a conversion table for converting physical addresses to logic addresses and vice versa. This table is stored in a memory provided in the data-processing apparatus. The conversion table stores

In Sep S1-4, the data-processing apparatus sets, as variable N, the physical address of the block next to the system block.

Next, in Step S1-5, the data-processing apparatus refers to the user-use prohibition table, thereby determining whether or not the block having the physical address of N is one that the user is prohibited from using. If it is determined that the block is not one the user is prohibited from using, the flow goes to Step S1-6. In Step S1-6, the logic address corresponding to the block having the physical address of N and the flag specifying the type of this block are written into the conversion table for converting physical addresses to logic addresses and vice versa.

If it is determined in Step S1-5 that the block is one the user is prohibited from using, the flow goes to Step S1-7. In Step S1-7, only the flag specifying the type of this block is written into the conversion table for converting physical addresses to logic addresses and vice versa.

Then, the data-processing apparatus adds one (1) to variable N In Step S1-8 and compares the value of variable N with the value of the physical address of the last block of the memory card in Step S1-9. Thus, the apparatus determines whether variable N has become greater than the value of the last block. If the apparatus

determines that the variable N has not become so yet, the flow returns to Step S1-5, whereby the process is repeated.

If it is determined in Step S1-9 that variable N has become greater than the value of the last block, the process of initializing the system is terminated.

When the system is initialized as described above, there is generated a conversion table for converting physical addresses to logic addresses and vice versa, in the memory of the data-processing apparatus. FIG. 3 shows an example of the conversion table. As shown in FIG. 3, the conversion table stores the correlation between physical addresses and logic addresses.

Stored also in the conversion table are flags that specify the types of the blocks. That is, each flag indicates whether the block is the system block, a user block, the special user block, or a defective block. The flag "S" specifies the system block. A flag "U", a flag "SU" and a flag "B" specify a user block, the special user block and a defective block, respectively.

Of the blocks of the memory card, the system block and the user-use-prohibited blocks are not assigned with logic addresses. Therefore, in the logic-address column in FIG. 3, marks "X" are shown for the system block and the user-use prohibited blocks.

An example of the process of setting a password in the memory card described above will be explained, with reference to the flow chart of FIG. 4. To set a password in the memory card, there is used a data-processing apparatus designed only to set a

In order to set a password in the memory card, the data-processing apparatus designed only to set a password in the memory card makes a request for a password in Step S2-1. For example, the apparatus displays a message on a display, asking the person who can set a password to input a password and, thus, making a request for a password.

The data-processing apparatus that is designed only to set a password may initialize the system in such a way as has been described. If so, it can refer to the conversion table stored in the memory, thereby to designate the address of the special user block.

In Step S2-5, the data-processing apparatus accesses the special user block and reads the password that has been written in Step S2-4. The apparatus correlates the password with the password input in Step S2-2, thus determining if the correct

password has been written into the special user block.

If the correct password has not been written into the special user block, the flow returns to Step S2-4, and the process of writing the password into the special user block is performed again. If the correct password has not been written into the special user block, the process of setting a password is terminated.

Once the password has been written into the special user block of the memory card as described above, ordinary users cannot to this special user block. The ordinary users cannot know the password. Nor can they alter or rewritten the password. Hence, the data-processing apparatus that uses the memory card as the recording medium writes and reads data, exclusively in accordance with the instructions made by the authenticated user. This prevents the undesirable event that any other person may read or rewrite the data written in the memory card.

Thus far, it has been described how password data is stored into the special user block. Nonetheless, the data to be stored into the special user block is not limited to password data.

For instance, the information concerning the copyright of the data stored in the user blocks may be stored into the special user block. Once stored in the special user block, the copyright information cannot be accessed by ordinary users. This prevents alteration of the copyright information.

Further, the use history of the memory card may be stored into the special user block. The term “use history” means a record of the accesses that were made to the

memory card in the past to read data, for example, from the memory card, write data into the memory card, and format the memory card. Once the use history is recorded in the special user block, it is possible for the user to obtain statistical information about the past use of the memory card.

Still further, the quality history of the memory card may be stored into the special user block. The term "quality history" means a record of the conditions in which the memory card was used while connected to electronic apparatuses and also of the errors that were made in the memory card. Once recorded in the special user block, the quality history can be confirmed and studied, whenever necessary, for example when the memory card is sent back from the user to the sales shop or the manufacturer.

The memory card with a password set in it can be used in various ways. A music data recording/reproducing system, which uses the memory card as a recording medium, will be described below.

The music data recording/reproducing system has a music data receiving apparatus and a speaker. The music data receiving apparatus receives the music data distributed from a music distributor. The music data is stored into a user block of the memory card. The music data is reproduced from the user block of the memory card and output by means of the speaker. It should be noted that the music data receiving apparatus is not a general-purpose data-processing apparatus such as a personal computer. Rather, it is an apparatus compatible with the memory card. It can make

an access to the special user block of the memory card.

As is shown in FIG. 5, the music data receiving apparatus 10 comprises an input/output section 11, a data-processing section 12, and an output section 13. The input/output section 11 receives the music data transmitted from the music source the music distributor 20. The data-processing section 12 writes the music data into the user blocks of the memory card 30 and reads the music data from the user blocks of the memory card 30. The output section 13 outputs the music data the data-processing section 12 has read from the user blocks of the memory card.

An input apparatus 40 and a display apparatus 50 are connected to the data-processing section 12. The input apparatus 40 is, for example, a keyboard and receives the instructions made by the user and other data. The display apparatus 50 is, for example, a liquid crystal panel, and displays the data generated by the data-processing section 12. The memory card 30, in which a password has been set, is connected to the data-processing section 12. An audio output apparatus 60, such as a speaker, is connected to the output section 13.

As shown in FIG. 6, the data-processing section 12 comprises a central processing unit (CPU) 14 and a memory 15. The CPU 14 and the memory 15 are connected by a bus 16. The memory card 30, input apparatus 40 and display apparatus 50 are connected to the CPU 14 and the memory 15 by the bus 16.

The CPU 14 serves to perform various processes in the data-processing section

12. The memory 15 is used as a work area for assisting the CPU 14 to effect the

processes. It serves as a storage area in which the operation system (OS) (the program the CPU 14 uses to perform the processes), device drivers, and application programs are stored.

In the music data recording/reproducing system thus constructed, the music data the music data receiving apparatus 10 has received is written into a user block of the memory card 30 in the following manner. First, the memory card 30 is connected to the music data receiving apparatus 10. At this time, the system is initialized as has been described above, and a conversion table is generated in the memory 15 of the music data receiving apparatus 10. The conversion table shows the correlation between physical addresses and logic addresses of the blocks in the memory card 30 and stores flags indicating the types of the blocks. At the same time, a FAT (File Allocation Table) and a directory entry are generated in the memory of the music data receiving layer 10.

When the memory card 30 is connected to the music data receiving apparatus 10, the apparatus 10 authenticates the password.

How the music data receiving apparatus 10 authenticates the password will be explained, with reference to the flow chart of FIG. 7.

First, in Step S3-1, the music data receiving apparatus 10, to which the memory card 30 has been connected, requests that the user input a password. For example, the data-processing section 12 of the music data receiving apparatus 10 causes the display apparatus 50 to display a message, asking the user to input a

password.

In Step S3-2, the user operates the input apparatus 40, thereby inputting a password. Then, in Step S3-3, the data-processing section 12 of the music data receiving apparatus 10 accesses the system block of the memory card 30, acquiring the address data of the special user block from the user-use prohibition table. The section 12 specifies the address of the special user block on the basis of the address data.

The conversion table storing the flags indicating the types of the blocks in the memory card 30 has been generated in the memory 15 of the data-processing section 12 of the music data receiving apparatus 10 by initializing the system as described above. More correctly, the conversion table is stored in that storage area of the memory 15, in which the device drivers are stored. Therefore, the data-processing section 12 of the music data receiving apparatus 10 may refer to the conversion table, thereby to specify the address of the special user block.

In Step S3-4, the data-processing section 12 of the music data receiving apparatus 10 accesses the special user block that has been specified in Step S3-3. The section 12 then reads the password from the special user block.

In Step S3-5, the data-processing section 12 of the music data receiving apparatus 10 correlates the password input in Step S3-2 with the password read in Step S3-4 from the special user block. If these passwords do not coincide, the section 12 does not allow the user to use the memory card, and the flow returns to Step S3-1. In Step S3-1, the music data receiving apparatus 10 requests again that the user input a

002240 0620550

password. If Step S3-5 is repeated several times and the passwords have yet to coincide, the data-processing section 12 of the music data receiving apparatus 10 determines that the password has been input by a user who has not been authenticated. In this case, the data-processing section 12 may cause the display apparatus 50 to display a message, indicating that an error has been made, and may terminate the process of authenticating the user, not allowing the user to use the memory card.

On the other hand, if the passwords coincide, the section 12 allows the user to use the memory card. The process of authenticating the user in accordance with the password then ends.

After completion of the process of authenticating the user in accordance with the password, the user can write the music data received by the music data receiving apparatus 10, as user data, into the user block of the memory card 30. Also, the user can reproduce the music data read from the user block of the memory card 30 and then output the music data from the audio output apparatus 60, which is, for example, a speaker or a headphone.

An example of the process of writing the music data received by the music data receiving apparatus 10, as user data, into the user block of the memory card 30 will be described below.

First, the music data is transmitted from the music distributor 20 to the music data receiving apparatus 10. The music data is stored as music source and include the numbers assigned to various musical pieces. The music data receiving apparatus 10

receives the music data and makes the display apparatus 50 display the music data.

Next, the user refers to the music data displayed by the display apparatus 50 and selects one of the musical pieces. The user then operates the input apparatus 40, thereby inputting the number assigned to the musical piece selected.

The music data receiving apparatus 10 transmits the number assigned to the musical piece selected by the user, to the music distributor 20. The music distributor 20 transmits the music data that corresponds to the music number received at the music distributor 20.

In the music data receiving apparatus 10, the input/output section 11 receives the music data transmitted from the music distributor 20. The data-processing section 12 writes the music data as user data, in the form of a file, into the user block of the memory card 30. The data-processing section 12 of the music data receiving apparatus 10 may render copyright bits valid in the memory card 30. This can make it impossible to read the music data from the user block of the memory card 30 by the use of a data-processing apparatus such as a general-purpose personal computer. The copyright bits have been defined to limit the use of any data protected by copyright. As long as the copyright bits remain valid, the memory card 30 can not be used in data-processing apparatuses such as general-purpose personal computers.

The process of writing the music data into the user block of the memory card 30 ends. Then, the data representing the charge for use of the music data, the information about the user and the like are transmitted to the music distributor 20 from

007240" 0620550

the data-processing section 12 of the music data receiving apparatus 10. More precisely, they are transmitted from the input/output section 11 of the section 12.

An example of the process of reproducing the music data written in the user block of the memory card 30 and outputting the same from the audio output apparatus 60 will now be described, with reference to the flow chart of FIG. 8.

First, in Step S4-1, the instruction made by the user is input to the music data receiving apparatus 10 via the input apparatus 40, upon completion of the process of authenticating the user on the basis of the password. The instruction is so input to reproduce the music data.

The data-processing section 12 of the music data receiving apparatus 10 accesses the user block of the memory card 30. The section 12 reads the music data written in the user block of the memory card 30.

More specifically, the CPU 14 reads a command to reproduce the music data stored in the application storage area, when the instruction is input from the user to reproduce the music data. To execute this command, the name of the file constituting the music data the user has designated as one to be read is specified in Step S4-1. The music data is thereby read from the application storage area.

When the name of the file constituting the music data is specified, the device driver receives the file name and the data-reproducing command. In Step S4-3, the device driver refers to the FAT and the directory entry, thus designating the logic address of the music data that constitutes that file.

Next, in Step S4-4, the device driver refers to the conversion table that stores the correlation between the addresses of physical blocks and the addresses of logic blocks, all blocks provided in the memory card 30. Thus, the device driver acquires the physical address data of the user block that contains the music data constituting the file.

In Step S4-5, the device driver generates a block-reading command that has, as a parameter, the physical address it has acquired. The device driver then accesses the user block of the memory card 30, reading the music data from the user block having the physical address acquired.

In Step S4-6, the CPU 14 converts the music data read from the user block, in a particular manner, to data that can be output to the audio output apparatus 60. This data-converting process is performed in units of data items, each read at a time from a user block of the memory card 30.

Next, in Step S4-7, it is determined whether all music data constituting the file has been read and converted. If it is determined that any part of the music data that constitutes the file has not been read or converted yet, the flow returns to Step S4-5. In Step S4-5, the music data is read again from the user block. In Step S4-6, the music data read out is converted.

If it is determined in Step S4-7 that all music data constituting the file has been read and converted, the flow goes to Step S4-8. In Step S4-8, the music data is transmitted to the audio output apparatus 60 from the output section 13 of the music

data receiving apparatus 10. The audio output apparatus 60 outputs the music data. Thus does end the process reproducing and outputting the music data.

In the case described above, the music data written in the user block of the memory card 30 is reproduced when the user is authenticated in accordance with the password. Only the data not protected by copyright may be reproduced, while the data protected by copyright is not reproduced, even if the user cannot be authenticated in accordance with the password. The data protected by copyright is encrypted, as usual, and stored in the user block of the memory card 30. The data encrypted is read from the user block, decrypted by a data-decrypting means and finally output. Hence, the data not protected by copyright can be reproduced, while preventing illegal reproduction of the copyrighted data, if the copyrighted data is not decrypted when the user is not authenticated in accordance with the password.

The music data recording/reproducing system described above uses, as recording medium, the memory card 30 that stores a password. A system for recording and reproducing various kinds of data, such as movie data, can be provided, which is similar in structure to the music data recording/reproducing system. For example, a movie data recording/reproducing system may be provided. In this system, the video data receiving apparatus receives the movie data distributed from a movie distributor. The movie data is stored into the user blocks of the memory card 30. The motion-picture data and audio data are read from the user blocks of the memory card 30. The motion-picture data is transmitted to the display apparatus such as a CRT display or

a liquid crystal display, whereas the audio data is transmitted to the audio output apparatus such as a speaker. The display apparatus displays the motion picture, and the audio output apparatus outputs the sound.

The memory card with a password set in it may be used in a security system, in which the password a member of is authenticated by using the memory card in order to open or close a clock.

In the security system, memory cards are issued to only the members. Each member operates a data-processing apparatus for setting passwords, thereby writing a password into the special user block of his or her memory card. Alternatively, memory cards, each having a password written in it by the use of a dedicated data-processing apparatus, are issued to the members, and each member is informed of the password written in the memory card issued to him or her.

Each member connects the memory card to the data-processing apparatus that comprises an actuator for controlling the opening and closing of the lock. This data-processing apparatus is not a general-purposed one such as a personal computer. Rather, it is a data-processing apparatus compatible with the memory cards and can make an access to the special user blocks of the memory cards if prescribed procedures are performed.

When the memory card is connected to it, the data-processing apparatus authenticates the member holding the memory card, on the basis of the password in such a way as described above. Only when the member is authenticated in accordance

In the security system described above, the opening and closing of the lock can be controlled only by authenticating the holder of the memory card in accordance with the password. Therefore, procedures, such as registering of the members, need not be performed at all. The security system can be simple and can yet perform its function reliably.

In the personal-data management system, the memory card with the password set in it is used as a recording medium for recording the personal data such as the medical care record. The personal data may be written in the user blocks of the memory card. If the data is very important and is not of a great amount, it may be

In the personal-data management system, the memory card with the password set in it is used as a recording medium for recording the personal data such as the medical care record. The personal data may be written in the user blocks of the memory card. If the data is very important and is not of a great amount, it may be

written into the special user block. In this case, however, the personal data is written into a region of the special user block, other than the region into which the password has been written. For instance, if the password is written in the first page of the special user block, the personal data will be written into the second page of the special user block.

To refer to or correct the personal data written in the memory card, or to write additional personal data, the memory card is connected to the data-processing apparatus having a data-processing section for reading data from, and writing data into, the memory card. The data-processing apparatus is not a general-purpose one such as a personal computer. Instead, one is compatible with memory cards. It can access the special user block of each memory card by performing prescribed procedures.

When a memory card is connected to it, the data-processing apparatus authenticates the holder of the memory card in accordance with the password. Only if the card holder is authenticated on the basis of the password, the apparatus reads the personal data from the user blocks or special user block of the memory card in accordance with an instruction made by the user. At this time, to read the personal data from the user blocks, the data-processing apparatus performs the same process as music data is read from the user blocks of the memory card in the music data recording/reproducing system described above. To read the personal data from the special user block, the apparatus carries out the same process as the user is authenticated on the basis of the password.

Next, in the data-processing apparatus, a video encoder or the like causes a display apparatus, such as a CRT display or a liquid crystal display, to display the personal data that has been read from the memory card. When the user input an instruction to correct the personal data displayed by the display apparatus or to add new personal data, the data-processing apparatus rewrites the personal data, in the memory card to the personal data input by the user, or writes the new personal data into the memory card.

The personal-data management system allows the user to refer to or correct the personal data written in the memory card and to write new personal data into the memory card, only if the user is authenticated in accordance with the password. Thus, the personal data, e.g., medical care record, can be managed in safety. In the personal-data management system, the password that the user must input in order to refer to or correct the personal data or to add new personal data remains unknown to any other persons. This is because the password is written in the special user block of the memory card, to which nobody can make an access. Hence, any other person cannot read or rewrite the personal data.

As has been described above in detail, the memory card according to the present invention has a special user block that cannot be accessed by ordinary users. Data that should not be disclosed to the user, such as a password, can be stored in the special user block.

In the case of the conventional memory card, all blocks except the system

block can be accessed from general-purpose data-processing apparatuses such as personal computer. It is therefore impossible to store data that should not be disclosed to the user, such as the password, in such a way to maintain it concealed from the user.

By contrast, the memory card according to the present invention has a special user block for storing data that should not be disclosed to the user. Hence, the data, e.g., the password, can be stored and concealed from the user. Unlike the conventional memory card, the memory card according to this invention can therefore help to provide a system that excels in security.

#### Industrial Applicability

With the memory apparatus according to the present invention, such as a memory card, it is possible manage data that should not be easily rewritten or read by the user, such as the password or the use history, so that the user cannot easily make an access to that data.

007249 0620550

## CLAIMS

1. An memory apparatus comprising:  
a first storage region from which data can be read and into which data can be written, in accordance with instructions made by a user; and  
a second storage region from which data can be read and into which data can be written, when a data-processing apparatus to which the memory apparatus is connected performs prescribed procedures.
2. A memory apparatus according to claim 1, characterized in that the first storage region and the second storage region are composed of non-volatile memory elements.
3. A memory apparatus according to claim 1, characterized in that the second memory region is used as a region for storing password data.
4. A memory apparatus according to claim 1, characterized in that the second storage region is used as a region for storing copyright data concerning the data stored in the first storage region.
5. A memory apparatus according to claim 1, characterized in that the second memory region is used as a region for storing a use history of the memory apparatus.
6. A memory apparatus according to claim 1, characterized in that the second storage region is used as a region for storing a quality history of the memory apparatus.

002240-0620550

7. A memory apparatus according to claim 1, characterized by further

8. A data-processing apparatus comprising data-processing means for

wherein said data-processing means writes data into, or reads data from, the

9. A data-processing apparatus according to claim 8, characterized in that

10. A data-processing apparatus according to claim 9, characterized in that

and the data-processing means designates a logic address of data from the data to be written into the first storage region of the memory apparatus or from the file name of the data written in the first storage region and refers to the conversion table, thereby writing the data into the first storage region or reading the data from the first storage region.

11. A data-processing apparatus according to claim 8, characterized in that the data-processing means designates the second storage region of the memory apparatus upon receipt of instructions for writing the data into the second storage region of the memory apparatus or reading the data from the second storage region, thereby writing the data into the second storage region or reading the data from the second storage region.

12. A data-processing apparatus according to claim 11, characterized in that the data-processing means refers to a conversion table showing a physical address of the second storage region of the memory apparatus, thereby designating the second storage region, and writes data into the second storage region or reads data from the second storage region.

13. A data-processing apparatus according to claim 11, characterized in that the memory apparatus comprises a read-only storage region storing address data of the second storage region, and the data-processing means designates the second storage region on the basis of the address data stored in the read-only storage region, thereby writing data into the second storage region or reading data from the second

002240-0620E550

storage region.

14. A data-processing apparatus according to claim 11, characterized in that password data is written in the second storage region of the memory apparatus; the control means receives instructions to read the password data from the second storage region when the user makes instructions to write data into the memory apparatus or read data from the memory apparatus, thereby reading the password data from the second storage region, or writing data into the first storage region of the memory apparatus or reads data from the first storage region when the password data read from the second storage region coincides with the password data input by the user.

15. A data-processing method characterized in that use is made, as a recording medium, an memory apparatus comprising a first storage region from which data can be read and into which data can be written, in accordance with instructions made by a user, and a second storage region from which data can be read and into which data can be written, when a data-processing apparatus to which the memory apparatus is connected performs prescribed procedures; and data is written into, or read from, the first storage region when the user makes instructions to write the data into, or to read the data from, the memory apparatus.

16. A data-processing method according to claim 15, characterized in that a conversion table showing a physical address of the first storage region of the memory apparatus and data to be written into the first storage region or a logic address of the

17. A data-processing method according to claim 16, characterized in that the data to be written into the first storage region of the memory apparatus or the data written in the first storage region of the memory apparatus is managed in units of files, a logic address of data is designated from the data to be written into the first storage region of the memory apparatus or from the file name of the data written in the first storage region, and the conversion table is referred to, and the data is thereby written into the first storage region or read from the first storage region.

19. A data-processing method according to claim 18, characterized in that a conversion table showing a physical address of the second storage region of the memory apparatus is referred to, thereby designating the second storage region, and data is written into the second storage region or read from the second storage region.

20. A data-processing method according to claim 18, characterized in that the memory apparatus comprises a read-only storage region storing address data of the second storage region, the second storage region is designated on the basis of the

address data stored in the read-only storage region, and data is written into the second storage region or read from the second storage region.

21. A data-processing method according to claim 18, characterized in that password data is written in the second storage region of the memory apparatus; instructions to read the password data from the second storage region are received when the user makes instructions to write data into the memory apparatus or read data from the memory apparatus, the password data is thereby read from the second storage region, and data is written into the first storage region of the memory apparatus or read from the first storage region when the password data read from the second storage region coincides with the password data input by the user.

002240 0620550

## 1

—

**FIG. 1**

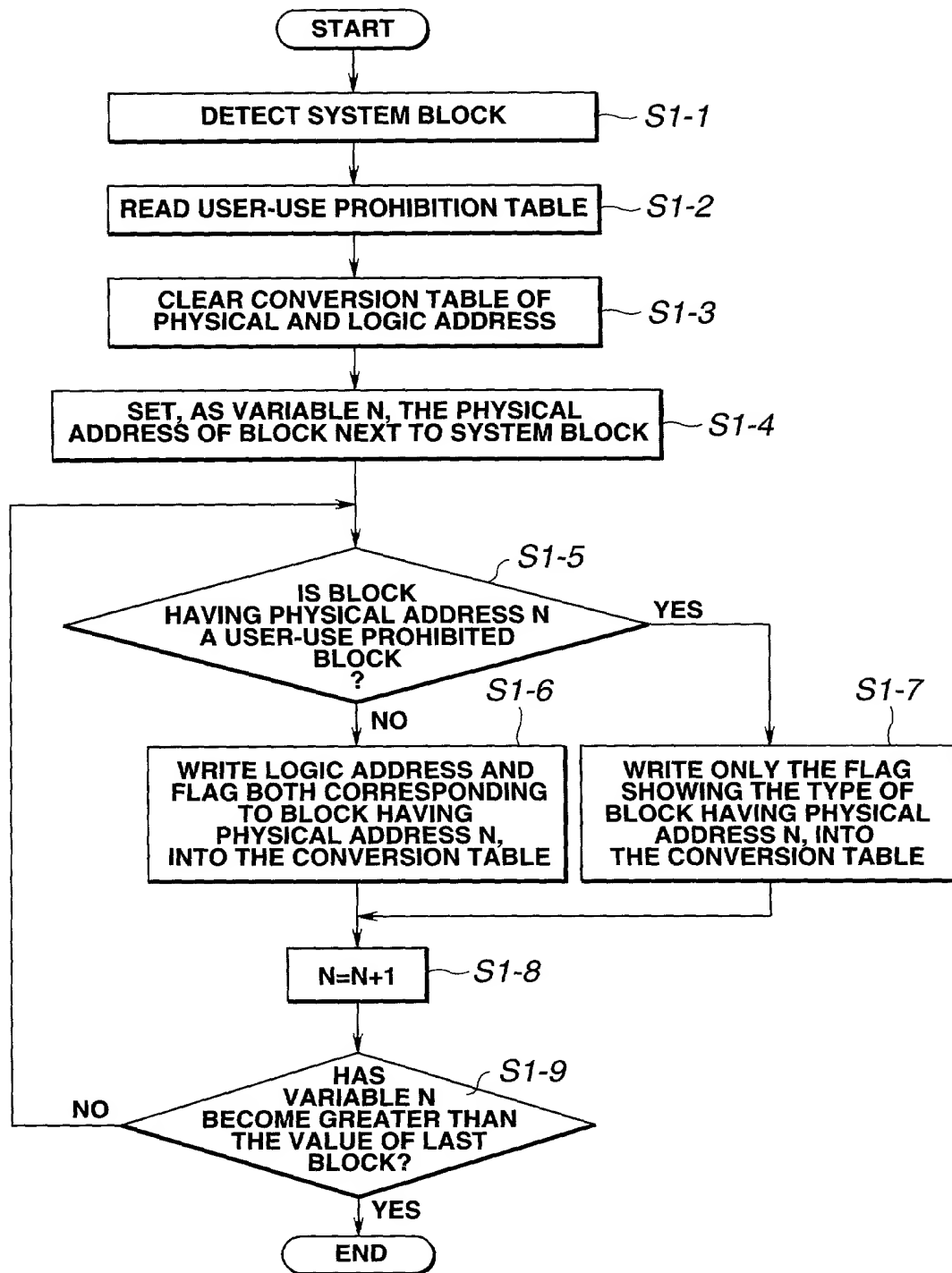


FIG.2

PHYSICAL BLOCK	LOGIC BLOCK	FLAG
0	X	S
1	5	U
2	3	U
3	X	B
⋮	⋮	⋮
10	X	SU
11	10	U
⋮	⋮	⋮

FIG.3

4/8

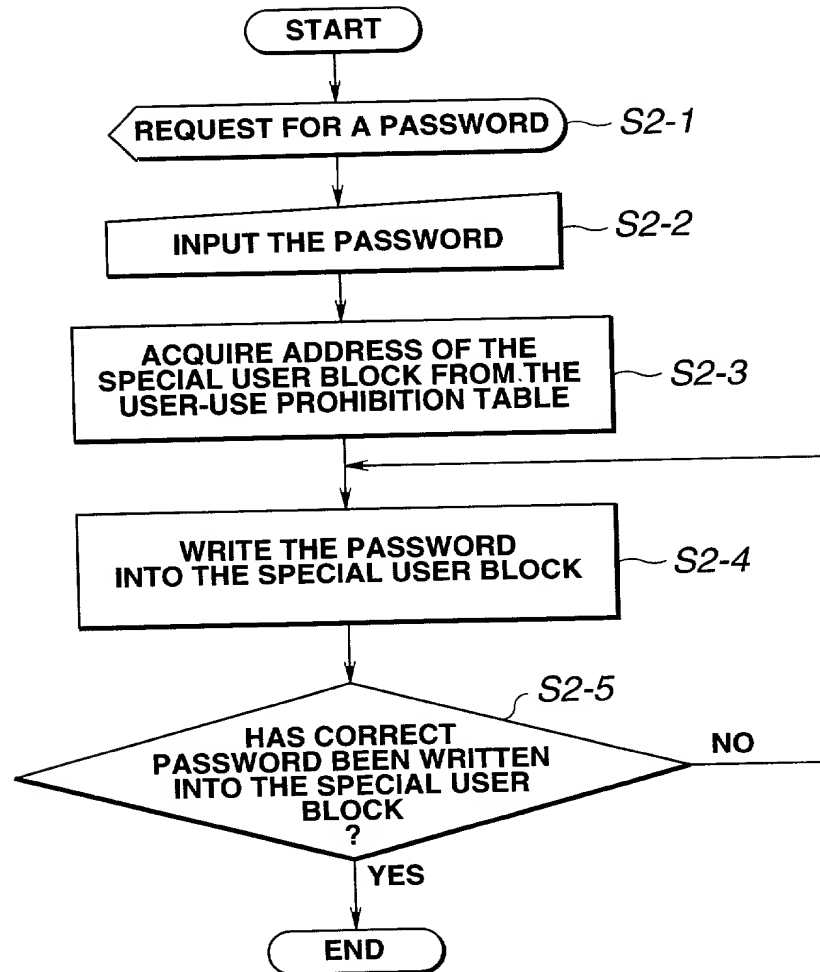


FIG.4

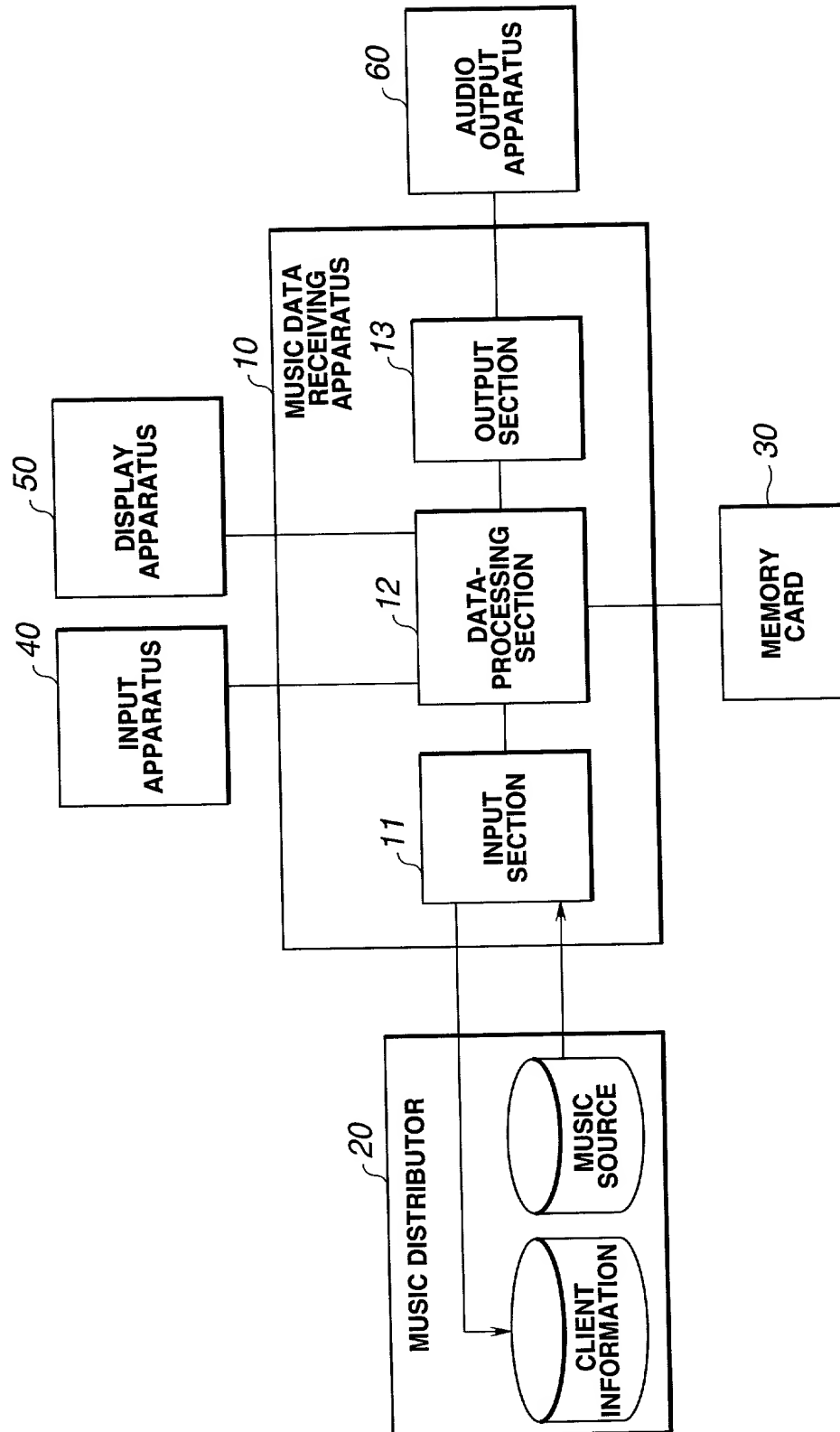


FIG.5

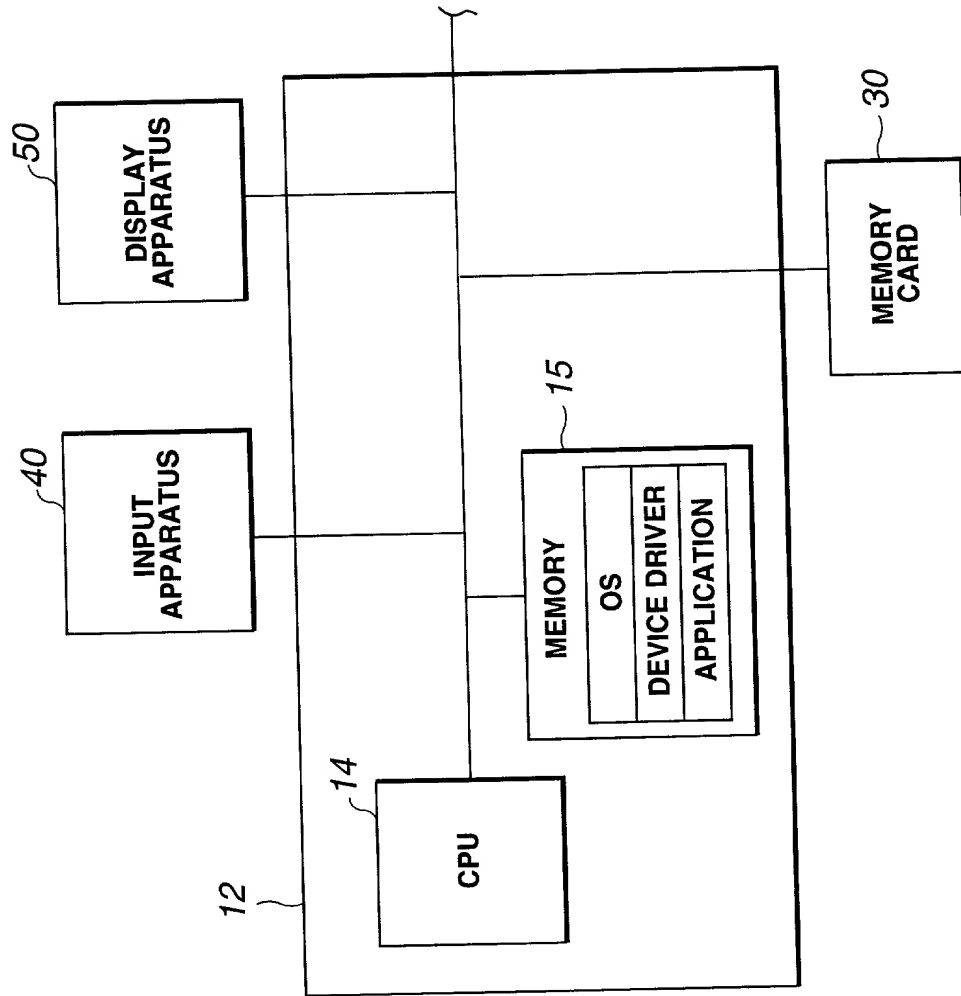


FIG.6

7/8

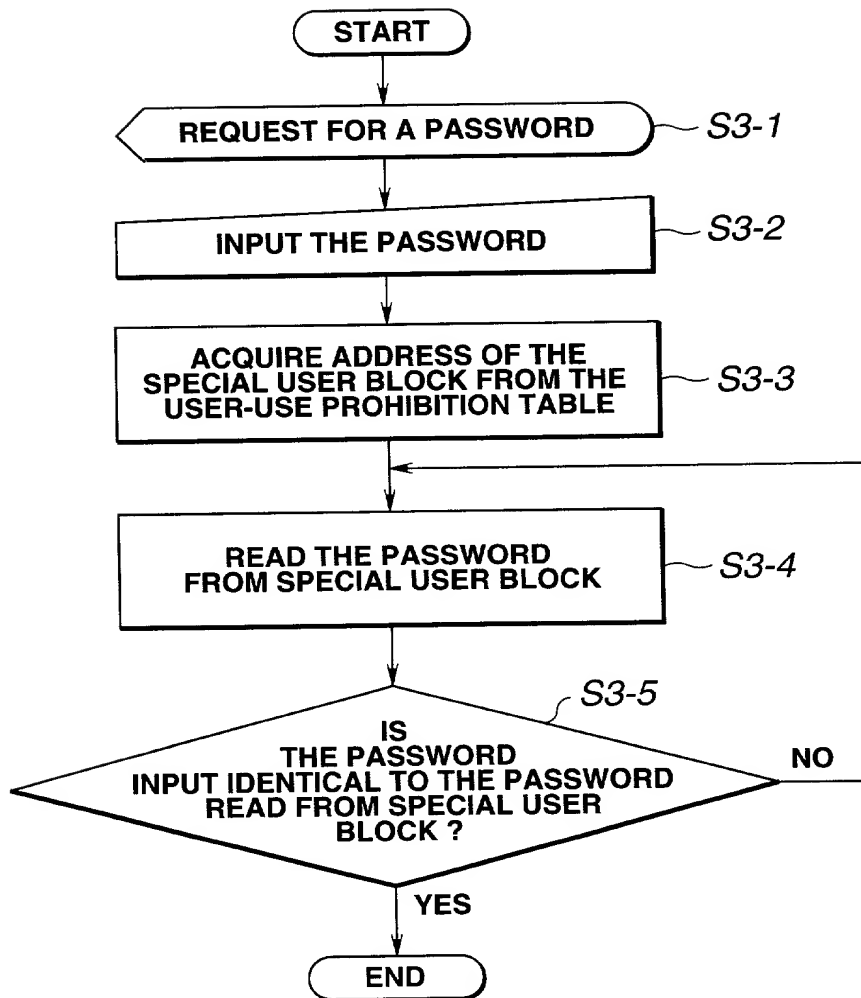
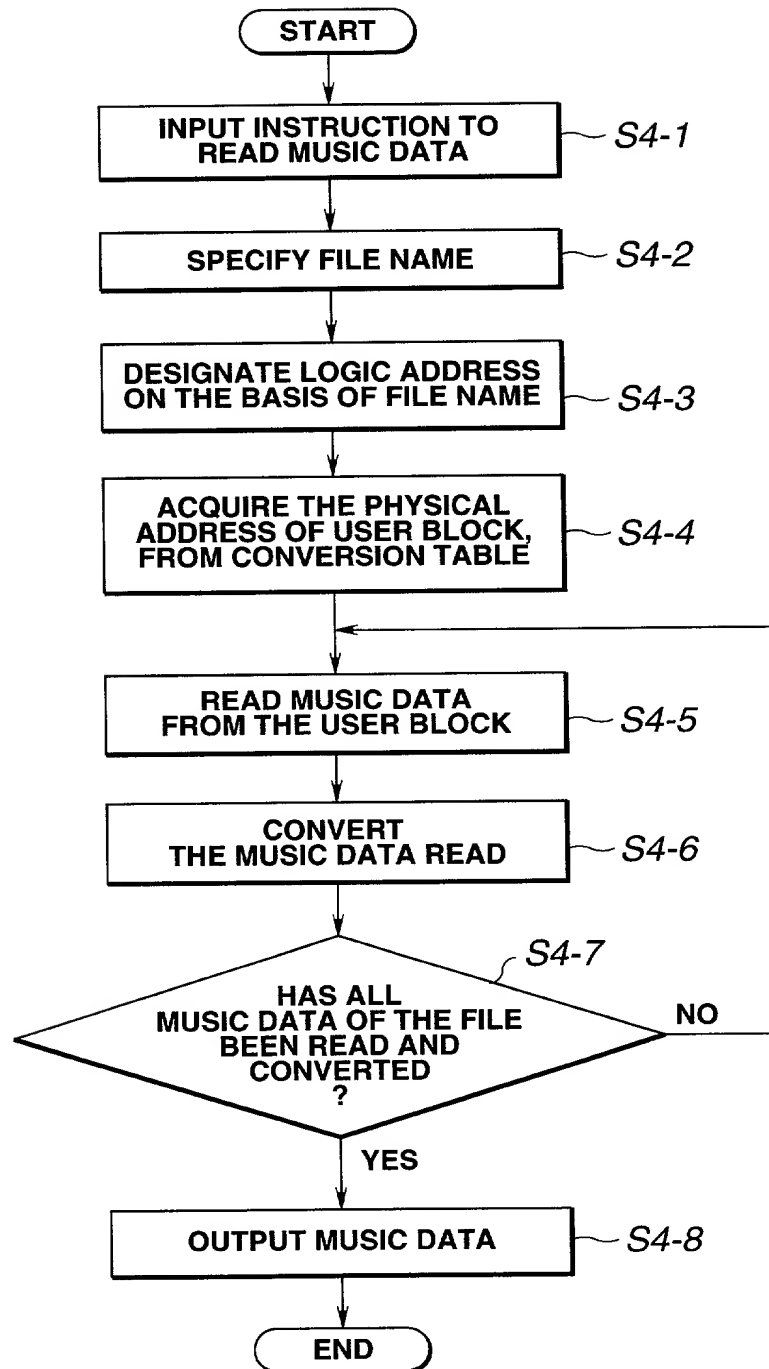


FIG.7

8/8

**FIG.8**

**DECLARATION FOR PATENT APPLICATION (JOINT OR SOLE)**

(Under 37 CFR § 1.63; with Power of Attorney)

**FROMMER LAWRENCE & HAUG LLP**

FLH File No.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

MEMORY APPARATUS, DATA-PROCESSING APPARATUS, AND DATA-PROCESSING METHOD

the specification of which

\_\_\_\_\_ is attached hereto.

X was filed on 31 August 1999 as International Application Serial No. PCT/JP99/04725, with amendment(s) through \_\_\_\_\_ (if applicable, give dates).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) [list additional applications on separate page]: Priority Claimed:

Number:	Country:	Filed (Day/Month/Year):	Yes	No
P10-246407	Japan	31 August 1998	X	
PCT/JP99/04725	PCT	31 August 1999	X	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) [list additional applications on separate page]:

Appin. Ser. Number: Filed (Day/Month/Year): Status (patented, pending, abandoned):

I hereby appoint WILLIAM S. FROMMER, Registration No. 25,506, and DENNIS M. SMID, Registration No. 34,930 or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence address:

WILLIAM S. FROMMER, Esq.  
c/o FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, New York 10151

Direct all telephone calls to:  
(212) 588-0800  
to the attention of:  
WILLIAM S. FROMMER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S):

Signature: Kaoru SUZUKI 1-00 Date: April 17, 2000

Full name of sole or first inventor: Kaoru SUZUKI

Residence: Aichi, Japan

Citizenship: Japan

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of 2nd joint inventor (if any):

Residence:

Citizenship:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of 3rd joint inventor (if any):

Residence:

Citizenship:

[Similarly list additional inventors on separate page]

Post Office Address(es) of inventor(s):

[if all inventors have the same post office address]

c/o Sony Kohda Corporation, 1 Aza Suzumegairi,  
Ohaza Sakazaki, Kohta-cho, Nukata-gun,  
Aichi 444-0104 Japan

Note: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming for Supporting a Claim by Another for Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, individual Non-Inventor].

Note: A post office address must be provided for each inventor.